

EXHIBIT 6

CFTC Letter to FirstView dated November 9, 2020



Division of
Enforcement

COMMODITY FUTURES TRADING COMMISSION

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581
Telephone: (202) 418-5000
Facsimile: (202) 418-5523
www.cftc.gov

GEORGE H. MALAS
FUTURES TRADING INVESTIGATOR
(202) 418-5249 DIRECT
(202) 818-3129 FACSIMILE
gmalas@cftc.gov

November 9, 2020

VIA UPS OVERNIGHT DELIVERY

FirstView, LLC
Attn: Subpoena Processing Department
2000 RiverEdge Pkwy.
Suite 580
Atlanta, GA 30328

Re: RFPA Subpoena in CFTC v. David Gilbert Saffron, Case No. 2:19-cv-1697-JAD-DJA

Dear Subpoena Processing Department:

Enclosed is a subpoena *duces tecum* issued by the Division of Enforcement (“Division”) of the Commodity Futures Trading Commission (“CFTC”) in connection with an ongoing litigation. The Subpoena requires FirstView, LLC to produce certain documents to the CFTC, at 1155 21st Street, N.W., Washington, D.C. 20581 on December 9, 2020.

The Subpoena calls for financial records from **Account Number [REDACTED] 9979 in the name of Vincent Mazzotta**. Section 1103(b) of the Right to Financial Privacy Act (“RFPA”), 12 U.S.C. § 3403(b) (2018), prohibits you from releasing these records to the CFTC until we have furnished you with a certificate of compliance with that Act, which you will receive when the requirements of the applicable provisions of RFPA have been satisfied. We expect to furnish you with such a certificate in approximately 14 days. Please note, however, that upon receipt of this Subpoena you must proceed to assemble the specified records and must be prepared to deliver the records to the CFTC upon receipt of the certificate of compliance. Section 1111 of the RFPA, 12 U.S.C. § 3411. **Please email eLaw-CPI@cftc.gov if you wish to deliver the production via the Commission's secure file transfer protocol (FTP)**. When sending documents via FTP, you MUST include the matter number (A7189) and my name.

Complete instructions for complying with the subpoena may be found in the subpoena and its attachments. Also attached please find a copy of the Commission’s *Statement to Persons Directed to Provide Information About Themselves to the Commodity Futures Trading Commission*, which sets forth information explaining certain rights and obligations of witnesses and uses of information submitted to the Commission.

Thank you for your cooperation. If you have any questions, please do not hesitate to contact me at (202) 418-5249.

Sincerely,



George H. Malas
Futures Trading Investigator

Enclosures: Subpoena Duces Tecum (with attachment)
CFTC Data Delivery Standards
Statement to Persons Directed to Provide Information About Themselves to the
Commodity Futures Trading Commission